



Social Determinants of Health

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The Building Upon Excellence Dean's Lecture Series

Purpose:

- Engage in conversations about the current context and future directions for impact in healthcare
- Critically think together about new ways to leverage nurses for addressing pressing health and social challenges

Format:

Symposia with experts and innovators in health, healthcare, and nursing.







The Changing Context of Healthcare

The current healthcare landscape is undergoing **significant change** and is being shaped by **large-scale transformative events**, including **contemporary** and **chronic** health and social welfare inequities.



The Nursing Profession is Discussing Solutions to Cotemporary Challenges in Healthcare



NASEM, 2021

The Future of Nursing 2020-2023: Charting a Path to Achieving Health Equity







Strategic Plan Working Group Draft Framework for 2022-2026



NASEM, 2021

Implementing High-Quality Primary Care: Rebuilding the Foundation of Healthcare

Implementing High-Quality Primary Care

AACN, 2021

The Essentials: Core Competencies for Professional Nursing Education



NONPF, 2021

The Updated National Task Force Criteria for Evaluation of Nurse Practitioner Programs

The Updated National Task Force Criteria for Evaluation of Nurse Practitioner Programs Mary Beth Bigley DrPH APRN FAAN NON can Stanley PhD NP FAAN FAANP AAC American Association o/ Colleges o/ Nursing The Voice of Academic Nursing

AACN, 2021

The Research-Focused Doctoral Program in Nursing: Pathways to Excellence (DRAFT)

The Research-Focused Doctoral Program in Nursing: **Pathways to Excellence**

(DRAFT)

American Association of Colleges of Nursing

Overview

The Determinants of Health in the United States

The Mechanisms of Social Determinants of Health: Principles, Theories, and Evidence

A Nurse-Led Framework for Addressing the Social Determinants of Health

University School of Nursing

The Determinants of Health in the United States



The US has the **lowest life expectancy**, but the **highest healthcare expenditure** relative to other developed nations.



Sources: OECD Health Expenditure and Finances https://stats.oecd.org/Index.aspx?DataSetCode=SHA; OECD Life expectancy at birth https://stats.oecd.org/healthstat/life-expectancy-at-birth.htm; NASEM (2021), The Future of Nursing 2020-2030.

Misalignment of US Health & Social Expenditure with Modifiable Determinants of Health

US combined annual health and social expenditure exceeds

\$4 trillion

but the allocation of funds is **misaligned with modifiable determinants of health**



Analyses of OECD Data Sets: Health expenditure and financing, Social Expenditure Database (SOCX), Social Expenditure - Reference series ; Magnan (2017), NAM Perspectives

What are Modifiable Determinants of Health?



Modifiable Determinants of Health

are **lifestyle/behavioral**, **clinical care**, and **socioeconomic/environmental** factors that influence immediate and long-terms health outcomes.

Unequal distribution

of modifiable determinants of health in the population **produces health inequities**



County Health Rankings model © 2016 UWPHI

Traditional Explanatory Paradigm for Health Disparities and Inequities



Tendency to characterize disparities by sociodemographic factors (e.g., race/ethnicity, SES, sex/gender, etc.)



Tendency to view health disparities as associated with "vulnerable communities"



Tendency to view health disparities from a deficiency-focused perspective

(e.g., "why do communities do poorly?")

Contemporary Explanatory Paradigm for Health Disparities and Inequities

Explores underlying drivers of health disparities and inequities

Focuses on exposure, susceptibility, and social processes as explanatory variables

Acknowledges resilience as an important factor in addressing health disparities and inequities

(e.g., "why do communities do as well as they do despite challenges?")

Chae DH, et al. Vulnerability and Resilience: Use and Misuse of These Terms in the Public Health Discourse. AJPH. 2021.

Paradigm Shift

Broad Domains of SDOH vs Mechanisms of Influence



Healthy People 2030 SDOH Framework:

Social determinants of health (SDOH) are the conditions in the environments where people are born, live, learn, work, play, worship, and age that affect a wide range of health, functioning, and quality-of-life outcomes and risks.



HHS. Health People 2030.

Vulnerability as Traditionally Conceptualized:

Applied to groups as an attribute of communities experiencing health inequities; predominantly along the lines of race/ethnicity, socioeconomic status, sexual orientation/gender identity, etc.

"vulnerable communities"

Chae DH, et al. Vulnerability and Resilience: Use and Misuse of These Terms in the Public Health Discourse. AJPH. 2021.

Lacks consideration of:

Social processes

Interactions between persons, groups, or systems

Exposure

Condition of being affected by a health risk or protective factor; exposure is environmental

Clair M, Denis JS. Sociology of racism. The international encyclopedia of the social and behavioral sciences. 2015;19:857-63.; Chae DH, et al. AJPH. 2021.; Merriam-Webster. Exposure.; Traub F, Boynton-Jarrett R. Pediatrics. 2017;139(5).

Moving Towards Understanding the Mechanisms of Social Determinants of Health

Framework informed by: Chae DH, et al. Vulnerability and Resilience: Use and Misuse of These Terms in the Public Health Discourse. AJPH. 2021.

The Mechanisms of Social Determinants of Health: Principles, Theories, and Evidence

Landmark conceptual and empirical research supports *principles about the mechanisms in which social determinants impact health:*

Underlying Causes Beyond Individual Factor Drive Health Inequities

SDOH Can Operate Through Biological Embedding

Context Matters -The Structural Production of Risk

SDOH Can Operate Intergenerationally

Environmental Disadvantage is not Deterministic

The Impacts of SDOH Cluster and Interact Synergistically

SDOH Influence Manifests Over the Life Course

Social Injustices and Structural Racism Shape the Impact of SDOH

Principle #1: Underlying Causes Beyond Individual Factors Drive Health Disparities and Inequities

Underlying Causes Beyond Individual Factor Drive Health Inequities

Link BG, Phelan J. Social conditions as fundamental causes of disease. Journal of health and social behavior. 1995 Jan 1:80-94.

Fundamental Causes Theory

Landmark theory that moved beyond individual "risk factor epidemiology" to propose distal factors as fundamental for shaping health disparities and inequities.

Distal factors/exposures influence **individual risk** and **protective** factors, and shape disease and health outcomes

Distal factors (i.e., education, SES, etc.) represent **fundamental causes** of inequities in disease.

Fundamental causes **disrupt access to resources** that are important in avoiding or mitigating negative health outcomes.

Fundamental causes act through **complex mechanisms** and on **diverse health outcomes →** difficult to quantify total effect

Empirical Evidence: The Value of Education for Improving Health

Figure. Cumulative Survival by Education and Preventability of Death Ages 45 to 64 at Baseline

High preventability and 1 to 11 yrs education
 - - High preventability and 12 to 15 yrs education
 High preventability and 16+ years education

Low preventability and 1 to 11 yrs education
 - - - Low preventability and 12 to 15 yrs education
 - - Low preventability and 16+ yrs education

Phelan JC, Link BG, Diez-Roux A, Kawachi I, Levin B. "Fundamental causes" of social inequalities in mortality: a test of the theory. Journal of health and social behavior. 2004 Sep;45(3):265-85.

Principle #2: Context Matters – The Structural Production of Risk

Context Matters -The Structural Production of Risk

Rhodes T, et al. The social structural production of HIV risk among injecting drug users. Social science & medicine. 2005 Sep 1;61(5):1026-44. Rhodes T. The 'risk environment': a framework for understanding and reducing drug-related harm. International journal of drug policy. 2002 Jun 1;13(2):85-94.

<u>Risk Environment Framework</u>: Type of Environment

Landmark framework that characterizes the structural production of disease risk and outcomes

<u>Understanding the risk environment:</u>

Comprises all risk-factors exogenous to the individual

The social situations, structures, and places where factors exogenous to the individual interact to produce disease risk

Four types of risk environment:

Economic

Principle #3: Environmental Disadvantage is not Deterministic

Environmental Disadvantage is not Deterministic

Rhodes T, et al. The social structural production of HIV risk among injecting drug users. Social science & medicine. 2005 Sep 1;61(5):1026-44. Rhodes T. The 'risk environment': a framework for understanding and reducing drug-related harm. International journal of drug policy. 2002 Jun 1;13(2):85-94.

Risk Environment Framework: Level of Influence

The Risk Environment Framework outlines influences at three distinct levels that interact to reinforce or weaken the effect of one another.

Environmental Disadvantage is not Deterministic: A Tale of Two Swimmers

Structural Analysis: COVID-19 Associated Deaths in the Bronx

	Macro Environmental Risk	Meso Environmental Risk	Micro Environmental Risk
Physical	Availability of affordable housing	Neighborhood & household density/isolation	Individual exposure to COVID-19 in neighborhood/household
Social	Historical harm and trauma associated with healthcare in underserved communities	Low trustworthiness of health care systems	Individual mistrust of healthcare; forgone care
Economic	Neighborhood poverty	Scarcity of affordable and healthy food sources (i.e., food desert)	Unhealthy food purchases/diet, obesity
Political	Lack of COVID-19 mitigation guidelines for socioeconomically disadvantaged households	Suboptimal COVID-19 messaging; misinformation	Misconceptions/lack of information regarding COVID-19

Principle #4: SDOH Influence Manifests Over the Life Course

SDOH Influence Manifests Over the Life Course

Life Course Perspective:

The life course framework suggests **social**, **economic**, **psychological**, **and environmental influences accumulate over the life course** to shape health behaviors, mental and physical health.

Early-life exposure to risk or protective SDOH factors **impacts health outcomes later in life**

Spatial/temporal context influences exposures and life course health trajectories

Accumulation of positive and negative effects on health and wellbeing

A Case Example: Adolescence as a Critical Developmental Period

Patton et al. Our future: A Lancet commission on adolescent health and wellbeing. The Lancet. 2016;387(10036):2423-78.

Research shows that **protective influences in adolescence are associated with reduced risk of negative health outcomes in adulthood**, independent of adolescent baseline risk factors.

Adolescent Family Connectedness

(Associated adult outcomes with unit increase on 30-point scale)

Adolescent School Connectedness

(Associated adult outcomes with unit increase on 30-point scale)

Dichotomous Dutcomes	n	Multiva	riable Mo	odels	Dichotomou Outcomes	Dichotomous r Outcomes	Dichotomous n Outcomes	Dichotomous n Multivar Outcomes	Dichotomous n Multivariable M Outcomes
Adult Sexual Heath		aOR	95% CI		Adult Sexual	Adult Sexual Heath	Adult Sexual Heath	Adult Sexual Heath aOR	Adult Sexual HeathaOR95% CI
2+ Sexual Partners (past 12 mo.)	10,064	0.96***	0.94	0.98	2+ Sexual Partne (past 12 mo.)	2+ Sexual Partners 1 (past 12 mo.)	2+ Sexual Partners 10,064 (past 12 mo.)	2+ Sexual Partners 10,064 0.98** (past 12 mo.)	2+ Sexual Partners 10,064 0.98** 0.96 (past 12 mo.)
Condom nonuse (past 12 mo.)	10,055	0.99	0.97	1.01	Condom nonuse (past 12 mo.)	Condom nonuse 1 (past 12 mo.)	Condom nonuse 10,055 (past 12 mo.)	Condom nonuse 10,055 0.99 (past 12 mo.) 0.00000000000000000000000000000000000	Condom nonuse 10,055 0.99 0.97 (past 12 mo.) 0
STI diagnosis	11,141	0.96***	0.94	0.98	STI diagnosis	STI diagnosis 1	STI diagnosis 11,141	STI diagnosis 11,141 0.98*	STI diagnosis 11,141 0.98* 0.96
Adult Substance Use					Adult Substa	Adult Substance Use	Adult Substance Use	Adult Substance Use	Adult Substance Use
Prescription drug misuse	11,956	0.94***	0.92	0.97	Prescription dru	Prescription drug misuse 1	Prescription drug misuse 11,956	Prescription drug misuse 11,956 0.97***	Prescription drug misuse 11,956 0.97*** 0.96
Other illicit drug use	11,974	0.95***	0.93	0.97	Other illicit drug	Other illicit drug use 1	Other illicit drug use 11,974	Other illicit drug use 11,974 0.98**	Other illicit drug use 11,974 0.98** 0.96

Multivariable models include school & family connectedness, sociodemographic characteristics, and relevant baseline risk factors.

Steiner RJ, Sheremenko G, Lesesne C, Dittus PJ, Sieving RE, Ethier KA. Adolescent connectedness and adult health outcomes. Pediatrics. 2019 Jul 1;144(1).

Principle #5: SDOH Can Operate Through Biological Embedding

SDOH Can Operate Through Biological Embedding

Hertzman C, Wiens M. Child development and long-term outcomes: a population health perspective and summary of successful interventions. Social science & medicine. 1996 Oct 1;43(7):1083-95.

Biological Embedding Framework

<u>Biological Embedding</u>: The process by which **social conditions initiate** and **sustain biological** changes that have short- and longterm effects on physical health and well-being.

Properties of Biological Embedding:

Social conditions **alter biological processes** (e.g., epigenetic, neurodevelopmental, immune, endocrine)

Alterations in biological processes are **stable** and **long-term**

Altered biological processes impact health, wellbeing, learning, and/or behavior **over the life course**

A Case Example: Childhood Psychosocial Adversity

Nelson CA, Scott RD, Bhutta ZA, Harris NB, Danese A, Samara M. Adversity in childhood is linked to mental and physical health throughout life. bmj. 2020 Oct 28;371.

Decision-Making, Behavior, and Biological Embedding

Figure. Biological Embedding of SDOH to Impact Decision-Making and Risk Behavior (A Case Example)

Nusslock R, Miller GE. Early-life adversity and physical and emotional health across the lifespan: A neuroimmune network hypothesis. Biological psychiatry. 2016 Jul 1;80(1):23-32.

SDOH Can Operate Through Biological Embedding

Biological embedding is **dynamic** and reflects **ongoing interactions** between the environment and biological processes to affect health, social, and behavioral outcomes

Figure. Epigenetic Embedding (Example)

Harris KM, McDade TW. 2018 Apr 1;4(4):2-6. Conching AK, Thayer Z. Social Science & Medicine. 2019 Jun 1;230:74-82.

Biological Embedding: An Empirical Example

Figure. Association between neighborhood disadvantage and accelerated cardiometabolic aging

Principle #6: SDOH Can Operate Intergenerationally

SDOH Can Operate Intergenerationally

Hoke MK, McDade T. Annals of Anthropological Practice. 2014 Nov;38(2):187-213. Hahn S, Hasler P, Vokalova L, Van Breda SV, Than NG, Hoesli IM, Lapaire O, Rossi SW. Frontiers in immunology. 2019 Mar 29;10:659.

Framework of Biosocial Inheritance

<u>Biosocial Inheritance</u>: "The processes through which social adversity is transmitted across generations through mechanisms both biological and social in nature."

Three Types of Biosocial Inheritance

<u>Cross-Generational Environmental Exposure to</u> <u>Endocrine Disruptors (Phthalate) During Pregnancy</u>

US women of reproductive age in the lowest quartile of overall SES have ~ 2 times the exposure to phthalate as compared to the highest quartile of SES.

Phthalate exposure has adverse effects on health outcomes in children, including **birth weight**, **gestational age**, **preterm birth**, **diabetes**, and **asthma**

Figure. DNA methylation of imprinted genes in children with prenatal phthalate exposure

Tindula G, Murphy SK, Grenier C, Huang Z, Huen K, Escudero-Fung M, Bradman A, Eskenazi B, Hoyo C, Holland N. Epigenomics. 2018 Jul;10(7):1011-26. Kobrosly RW, Parlett LE, Stahlhut RW, Barrett ES, Swan SH. Environmental research. 2012 May 1;115:11-7.

Multi-Generational Impact of SES

Figure. Interplay Between Low SES, Microbiota, and Metabolic Diseases in Higher Income Countries (HIC)

Harrison CA, Taren D. How poverty affects diet to shape the microbiota and chronic disease. Nature Reviews Immunology. 2018 Apr;18(4):279-87.

Transgenerational Transmission of Trauma

Mehta D, Miller O, Bruenig D, David G, Shakespeare-Finch J.. Journal of traumatic stress. 2020 Apr;33(2):171-80.

Principle #7: The Impacts of SDOH Cluster and Interact Synergistically

The Impacts of SDOH Cluster and Interact Synergistically

Singer M, Clair S, Medical anthropology quarterly. 2003;17(4):423-41.
Mayer, K. H., and K. K. Venkatesh. American Journal of Reproductive Immunology. 2011. 65(3):308-316.; Strathdee, S. A., & Sherman, S.
G. Journal of urban health: bulletin of the New York Academy of Medicine, 2003. 80(4 Suppl 3).

Syndemic Theory:

A *syndemic* is defined as two or more <u>clustered</u> epidemics interacting <u>synergistically</u> within a community or population, resulting in excess disease burden.

<u>There are two underlying mechanisms that produce syndemics:</u>

Biological synergism, e.g.: inflammation due to STIs facilitating transmission or acquisition of HIV.

AND / OR

Socio-contextual synergism, e.g.: increased risk of sexual HIV acquisition among substance users due to disinhibited sexual behavior under the influence.

The SDOH may operate through both biological (e.g., inflammatory response) and socio-contextual synergisms

A Syndemic Perspective on COVID-19: Examples

Synergies:

Record-Level Increase in Overdose Deaths During Pandemic

- **Elevated substance use** during the COVID-19 pandemic
- Increased solitary drug use has contributed to increasing overdose deaths
- Drugs obtained from unknown/unreliable sources has increased risk of overdose (cutting/mixing)
- The pandemic disrupted access to SUD treatment

Monthly Overdose Deaths, 2016-20

Clustering: COVID-19, Obesity, and Structural Racism

CellPress Cell Metabolism

Perspective COVID-19, Obesity, and Structural Racism: Understanding the Past and Identifying Solutions for the Future

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"Long-standing systemic inequalities—fueling unequal access to critical resources such as healthcare, housing, education, and employment opportunities—are largely responsible for the significant race disparities in obesity and COVID-19. Because of this legacy, public health emergencies like the COVID-19 pandemic disproportionately impact communities of color, exacerbated by high rates of pre-existing chronic diseases like obesity."

Sources: Nakeshbandi M, et al. International Journal of Obesity. 2020;44(9):1832-7. ;The Common Wealth Fund. https://www.commonwealthfund.org/blog/2021/drug-overdose-toll-2020-and-near-term-actions-addressing-it.; Spetz J et al. 2019. https://jamanetwork.com/journals/jama/fullarticle/2730102. NIDA. https://www.drugabuse.gov/news-events/nida-notes/2020/04/many-people-treated-opioid-overdose-in-emergency-departments-die-within-1-year

Principle #8: Social Injustices & Structural Racism Shape the Impact of SDOH

Social Injustices and Structural Racism Shape the Impact of SDOH

Krieger N. Theories for social epidemiology in the 21st century: an ecosocial perspective, Int J Epid. 2001;3(4):668–677.; Krieger N. Methods for the scientific study of discrimination and health: an ecosocial approach. Am J Public Health. 2012;102(5):936-944.

Ecosocial Framework:

Ecosocial Theory conceptualizes **health inequities** as **biological expressions of social processes**—the result of social injustices.

Example Structural Racism:

Racism: An exploitative and oppressive social process

Racial/ethnic social inequalities

Dynamic, multi-level social and biological processes embedded within historical context

Racial/ethnic health inequities

Structural Racism: Historical Context

US racial/ethnic inequities in all-cause mortality, 1960–2006.

Structural Racism: Residential Segregation

Racial Gap in Survival vs. Segregation.

Escarce JJ. Residential Segregation, the White-Black Income Gap, and White-Black Disparities in Premature Mortality. Presented at the National Academy of Social Insurance 28th Annual Policy Research Conference, 2016

Krieger N. Am J Public Health. 2012;102(5):936-944.

What Does All This Mean?

8 Principles About Mechanisms of the Social Determinants of Health:

Where do we go from here?

Next Steps:

Integration of SDOH principles and mechanisms into a framework with applicability and utility

Conceptualization of applied mitigation approaches aligned with SDOH principles and mechanisms

A Unified Framework of Principles and Mechanisms of SDOH

Opportunities for Mitigation of SDOH

SDOH Principle

Underlying Causes Beyond Individual Factor Drive Health Inequities

Approach for Mitigation

Meaningful Community Engagement in Identifying Data-Driven Priority Disparities & Disparity Drivers

Context Matters -The Structural Production of Risk

Decentralized Community-Based Care Tailored to Context (vs. Centralized One-Size-Fits-All)

Environmental Disadvantage is not Deterministic

SDOH Influence Manifests Over the Life Course

Health-Social Service Partnerships that Amplify Protective/Resilience Factors at Multiple Levels

Proactive Intervention Focus on Prevention and Health Promotion (vs. Reactive Focus on Treatment)

Opportunities for Mitigation of SDOH

SDOH Principle

Approach for Mitigation

Leverage Biological Markers of Negative SDOH Impact to Inform Intervention

SDOH Can Operate Intergenerationally

Family-Based Approaches to Healthcare, Prevention, and Health Promotion

The Impacts of SDOH Cluster and Interact Synergistically

Comprehensive, Interdisciplinary, Team-Based Care Within a Value-Based Framework

Social Injustices and Structural Racism Shape the Impact of SDOH

Health Care System Responsiveness to Exposures and Susceptibility (vs. Deficiency-Focused Perspective)

A Nurse-Led Framework for Addressing the Social Determinants of Health

Nurses are Uniquely Positioned to Implement Approaches for SDOH Mitigation

Key Nursing Workforce Characteristics for SDOH Mitigation:

Largest segment of the healthcare and public health workforce

Indispensable for scale, reach and effectiveness of health services

Scale of health services Reach of health services Effectiveness of health services

Most trusted profession for

nearly 20 years running

Most trusted profession since 2002

Key Nursing Competencies for SDOH Mitigation:

Clinical care expertise

(i.e., nurses are highly trained clinicians delivering most direct patient care services in the US)

Care coordination

(i.e., nurses routinely coordinate interdisciplinary care teams and comprehensive patient care plans)

Whole-person perspective on health

(i.e., nursing takes a holistic view of health and wellbeing that goes beyond biomedical treatment of disease)

Locational flexibility

(i.e., nurses provide services in a variety of centralized and decentralized settings, including clinics, schools, homes, etc.) Development, evaluation, and scale-up of **integrated, nurse-led strategies** that address the mechanisms of SDOH at three distinct levels—**multi-level interventions:**

Exemplar:

A Multi-Level Toolbox for Psychosocial **Biomedical**/ Structural **Nurse-Driven Intervention** Clinical **Community Engagement Proactive Intervention Family-Based Health-Social Service** Focus on Prevention and in Identifying Priority Disparities & Drivers Partnerships that Amplify Protective/Resilience Factors **Approaches to Health Promotion Health & Prevention** Systematic, community-engaged The family defined as unit Active navigation to ancillary Delivery of behavioral & biohealth needs assessment as services for psychosocial support of intervention; family medical prevention interventions formative work for involvement in care (e.g., transportation, food for each stage of the intervention assistance, etc.) self-management, life course. development and prevention Comprehensive, Decentralized Health Care System **Biological Markers of Negative SDOH Impact Community-Based Care Responsiveness to** Interdisciplinary, Teamto Inform Intervention Based Care **Tailored to Context Exposures & Susceptibility** Nurse home visitations for primary Nurse-coordinated interdisciplinary Assessment and targeted Routine assessment of biomarkers care + nurse telehealth platform care teams within a value-based mitigation of exposures and for SDOH impact + trend tracking and home health tech susceptibility indicators (e.g., reimbursement scheme at individual, family and community stress, microbiota shifts) levels (e.g., Al-based approaches)

Key Considerations for All Stages of the Intervention Life-Cycle

Formative Intervention Development Phase	Intervention Implementation Phase	Intervention Evaluation Phase	Intervention Scale-up Phase
 Relationship-building with key community stakeholders Community needs assessment to identify priority health disparities & underlying drivers Define multi-level intervention package based on community needs and priorities 	 Aligning service delivery with community needs and priorities Hiring and training of a diverse care team across all levels (positionality) Ongoing involvement of key community stakeholders 	 Understand the mediators of intervention effects and relative contributions of "active intervention components" Use insights to iterate & optimize the multi-level intervention Explanatory Factors 	 Implementation and evaluation of multi-level intervention in different contexts, informed by implementation science approach Engage policy makers, decision makers, and the community to promote scale-up
		Intervention Component 1 Intervention Component 2 Intervention Component 3 Mediator 2 Mediator 3	

