Future Directions in Nursing Science: A Call to Action for Mitigating the Social Determinants of Health

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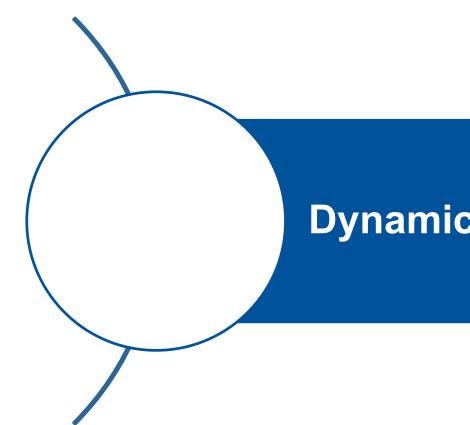
Presentation Overview

Dynamic Context for Advancing Health Equity

Shifting the Paradigm: Eight Principles of SDOH Mechanisms

Multi-Level SDOH Mitigation: An Applied Example



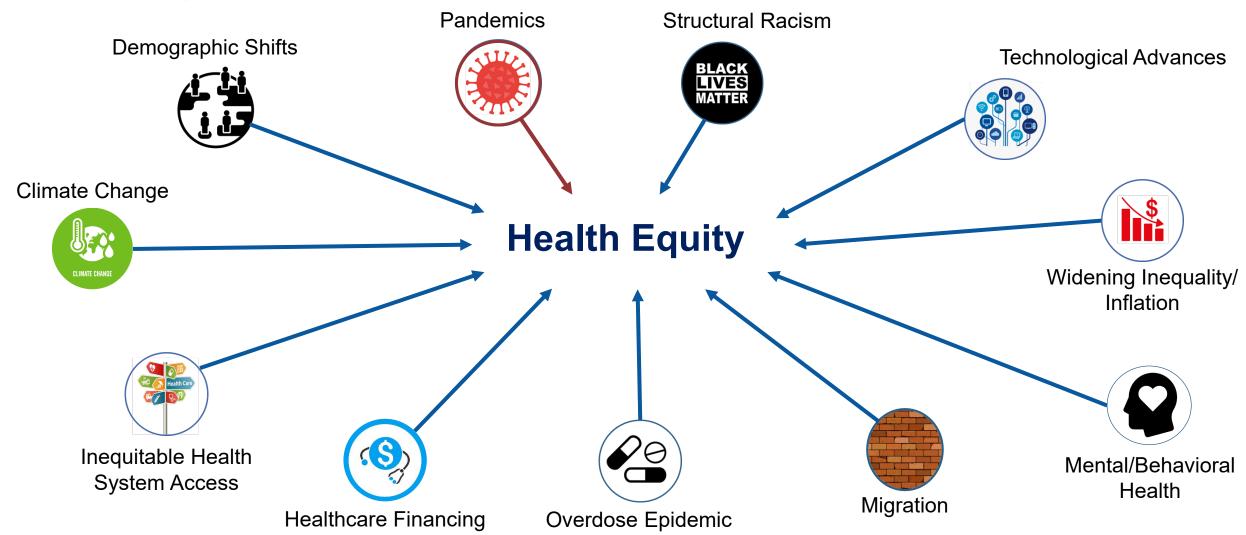


Dynamic Context for Advancing Health Equity



Achieving Health Equity in an Evolving Context

Large-scale, transformative events accentuate and exacerbate contemporary and chronic health inequities.



Large-Scale Events Shape Health Inequities

1.

By Creating New Inequities

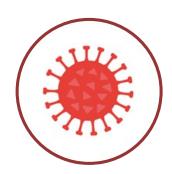
2.

By Exposing Chronic Inequities

3.

Through Synergies that Exacerbate Existing Inequities

Example: The COVID-19 Pandemic



Mortality differentials between vaccinated and unvaccinated individuals



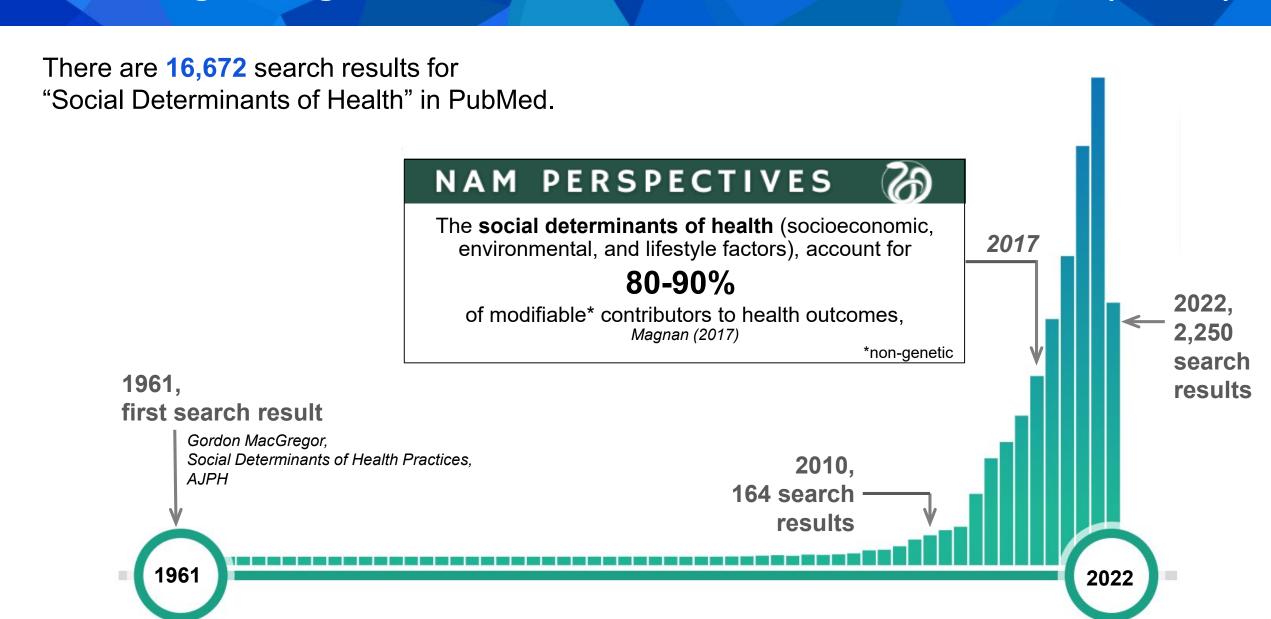
The disproportionate impact of COVID-19 in communities of color highlighting structural racism



Record increases in overdose deaths during the COVID-19 pandemic

CDC. Increase in fatal drug overdoses across the United States driven by synthetic opioids before and during the COVID-19 pandemic. CDC Health Alert Network. 2020.; Lo CH., et al. Race, ethnicity, community-level socioeconomic factors, and risk of COVID-19 in the United States and the United Kingdom. Eclinical Medicine. 2021;38:101029.; Myers LC, et al. Adults hospitalized with breakthrough COVID-19 have lower mortality than matched unvaccinated adults. J Intern Med. 2022.

Increasing Recognition of the Social Determinants of Health (SDOH)



Nursing Stakeholders Prioritize SDOH and Health Equity









The Future of Nursing 2020–2030 Charting a Path to Achieve Health Equity



The National Academies of SCIENCES ENGINEERING MEDICINE





U.S. DHHS. NINR 2022–2026 strategic plan. *National Institute of Nursing Research*. (2020.); American Association of Colleges of Nursing. The essentials: Core competencies for professional nursing education. 2021. Accessed June 29, 2022. https://www.aacnnursing.org/AACN-Essentials; American Academy of Nursing & American Nurses Association. The American Academy of Nursing and the American Nurses Association Call for Social Justice to Address Racism and Health Equity in Communities of Color. *Nursing World*. 2020. Accessed June 29, 2022. https://www.nursingworld.org/news/news-releases/2020/the-american-academy-of-nursing-and-the-american-nurses-association-call-for-social-justice-to-address-racism-and-health-equity-in-communities-of-color/.; American Association of Nurse Practitioners. Position paper: Commitment to addressing health care disparities during COVID-19. *AANP*. 2020. Accessed June 29, 2022. https://www.aanp.org/advocacy/advocacy/advocacy/resource/position-statements/commitment-to-addressing-health-care-disparities-during-covid-19.; American Organization for Nursing Leadership. AONL Guiding Principles: Diversity, Equity, Inclusion, and Belonging. *AONL*. N.d. Accessed June 29, 2022. https://www.aonl.org/system/files/media/file/2022/01/DEIB%20Guiding%20Principles%20Final.pdf.; National Academies of Science, Engineering, and Medicine. The future of nursing 2020-2030: Charting a path to achieve health equity. *The National Academies Press*. 2021. doi: https://doi.org/10.17226/25982

Challenges to Health Equity Persist



More than half of Americans already have a chronic disease, and the proportion with ≥2 chronic diseases is increasing.



Since 2014, US life expectancy has stagnated/declined.



More than half of US adults delayed or skipped care due to costs last year.

Boersma et al, Prevalence of multiple chronic conditions among US adults, 2018. *Prev Chronic Disease*. 17:200130. (2020). doi: 10.5888/pcd17.200130.; Kearney, A., et al., Americans' Challenges with Health Care Costs. KFF. Kff.org, Accessed July 11, 2022. https://www.kff.org/health-costs/issue-brief/americans-challenges-with-health-care-costs/.; Ortaliza et al., How does U.S. life expectancy compare to other countries?. Peterson-KFF Health System Tracker. (2021). Accessed July 11, 2022. https://www.healthsystemtracker.org/chart-collection/u-s-life-expectancy-compare-countries/.; Ward et al, Multiple chronic conditions among US adults: A 2012 Update. *Prev Chronic Dis*; 11: 130389. doi: http://dx.doi.org/10.5888/pcd17.200130

The Cost of Health Inequities

Without progress on chronic conditions, the cost of health inequities is projected to triple by 2040.



Modeling the cost of health inequities in 2040*

Cost of inequities in 2040 \$1 trillion

Cost of inequities today \$320 billion



Expected changes in population demographics, cost of care, and per capita spending



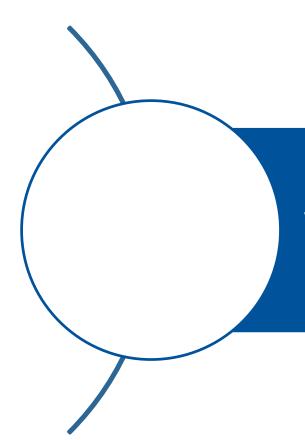
*Calculations and estimates based on a set of high-cost diseases (e.g. breast cancer, diabetes, colorectal cancer, asthma, and cardiovascular disease) and the corresponding proportion of spending attributed to health inequities.

Implications for the Nursing Profession

Enduring inequities suggest the necessity of a paradigm shift for advancing health equity.

The <u>nursing workforce</u> is central to achieving this <u>paradigm shift</u>.





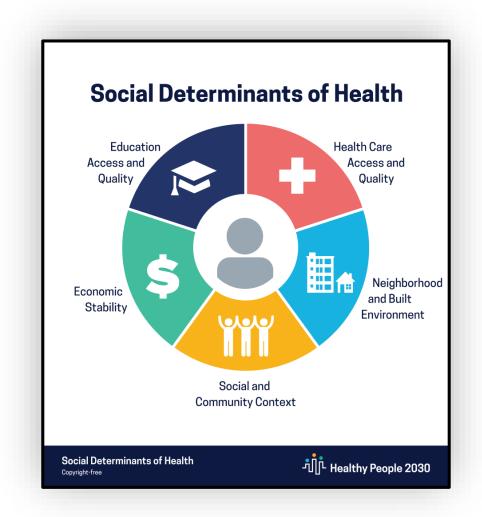
Shifting the Paradigm: Eight Principles of SDOH Mechanisms



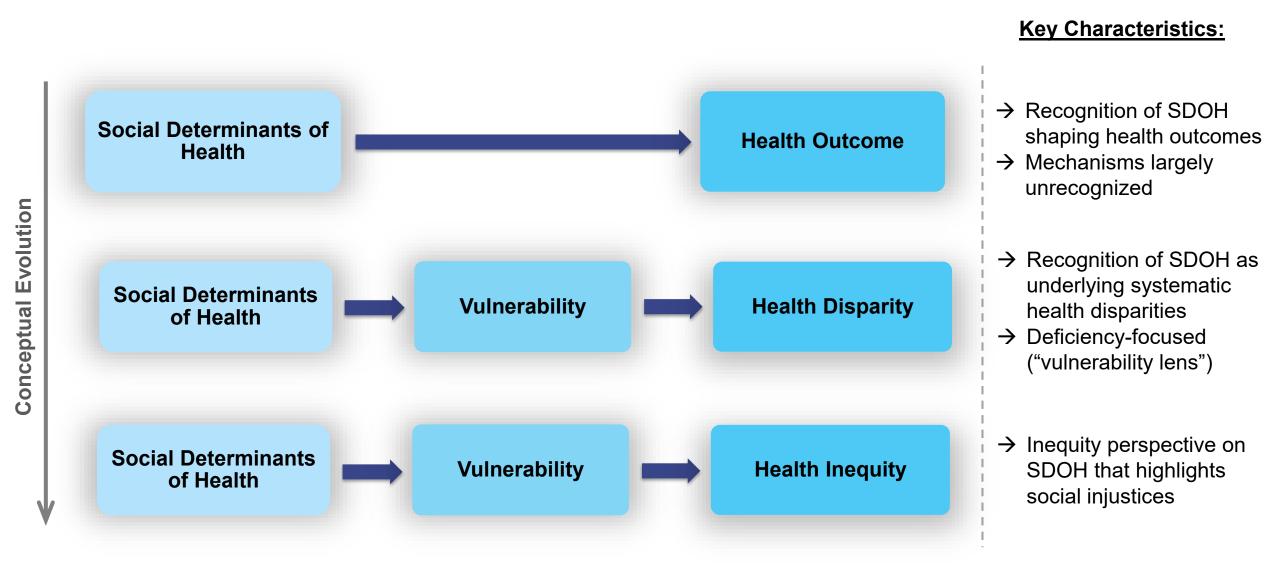
Current Policy and Programmatic Frameworks Recognize SDOH

Example:

→ HHS Healthy People 2030 has an overarching focus on SDOH for advancing health equity.



The Paradigm Shift for Conceptualizing SDOH



^{*}The conceptual evolution of SDOH is reflected in the extant literature.

Landmark conceptual and empirical research identifies eight principles about the mechanisms of social determinants of health:





SDOH Context Shapes Health Inequities



SDOH Contextual Disadvantage is Not Deterministic



SDOH Shapes Health Over the Life Course







SDOH Shapes Clustering and Synergies of Health Inequities



SDOH and Social Injustices Interact to Produce Health Inequities

Principle #1: SDOH are Underlying Causes of Health Inequities



Underlying Causes Beyond Individual Factors Drive Health Inequities

Fundamental Causes Theory

Landmark theory that moved beyond individual "risk factor epidemiology" to propose distal factors as fundamental for shaping health inequities.



Distal factors/exposures influence **individual risk** and **protective** factors, and shape disease and health outcomes



Distal factors (i.e., education, SES, etc.) represent **fundamental causes** of inequities in disease.



Fundamental causes **disrupt access to resources** that are important in avoiding or mitigating negative health outcomes.



Fundamental causes act through **complex mechanisms** and on **diverse health outcomes** → difficult to quantify total effect

Principle #2: SDOH Context Shapes Health Inequities



Context Matters – The Structural Production of Risk

Rhodes T, et al. The social structural production of HIV risk among injecting drug users. Social science & medicine. 2005 Sep 1;61(5):1026-44. Rhodes T. The 'risk environment': a framework for understanding and reducing drug-related harm. International journal of drug policy. 2002 Jun 1;13(2):85-94.

Risk Environment Framework: Environmental Determinants of Exposure

Landmark framework that characterizes the structural production of health inequities

<u>Understanding the risk environment:</u>



Comprised of risk-factors that are largely exogenous to the individual



The social situations, structures, and places where factors largely exogenous to the individual interact to produce health inequities

Four dimensions of risk environment:



Physical



Social



Economic



Policy

Context Matters: A Tale of Two Communities



Principle #3: SDOH Contextual Disadvantage is Not Deterministic



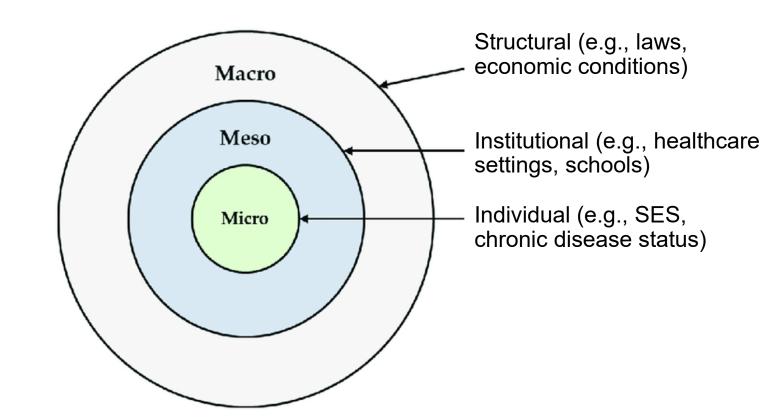
Contextual Disadvantage is not Deterministic

Rhodes T, et al. The social structural production of HIV risk among injecting drug users. Social science & medicine. 2005 Sep 1;61(5):1026-44. Rhodes T. The 'risk environment': a framework for understanding and reducing drug-related harm. International journal of drug policy. 2002 Jun 1;13(2):85-94.

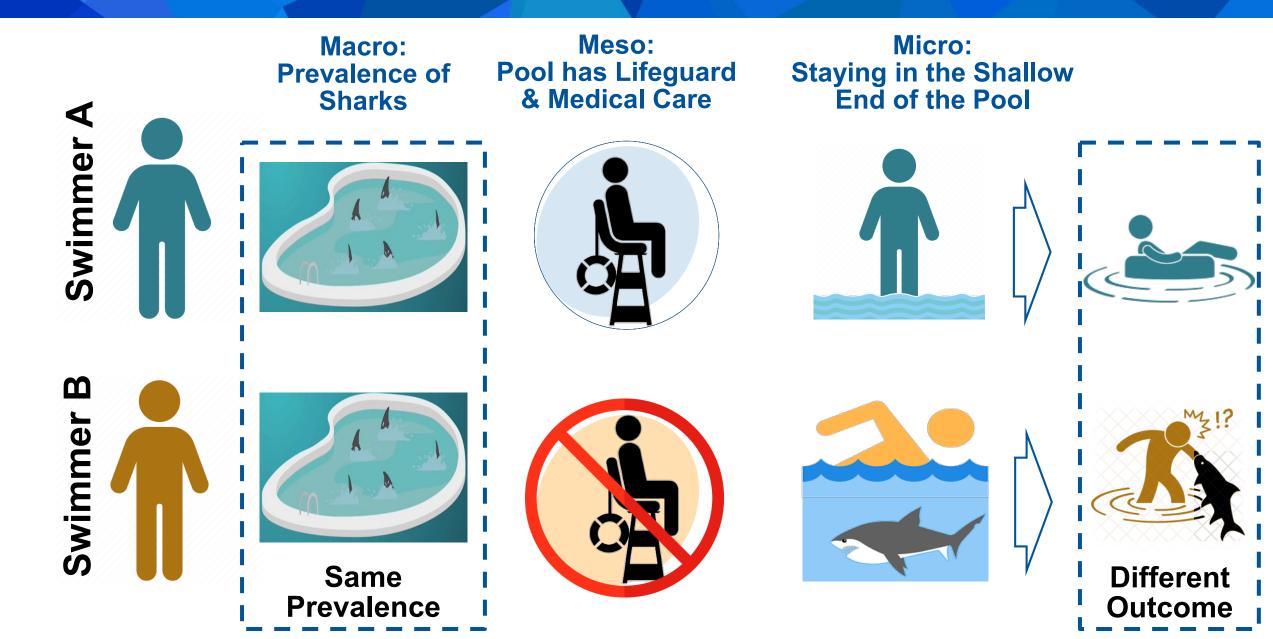
Risk Environment Framework:

Level of Influence

The Risk Environment Framework outlines influences at three distinct levels that interact to **reinforce or weaken** the effect of one another.



Contextual Disadvantage is not Deterministic: Two Swimmers



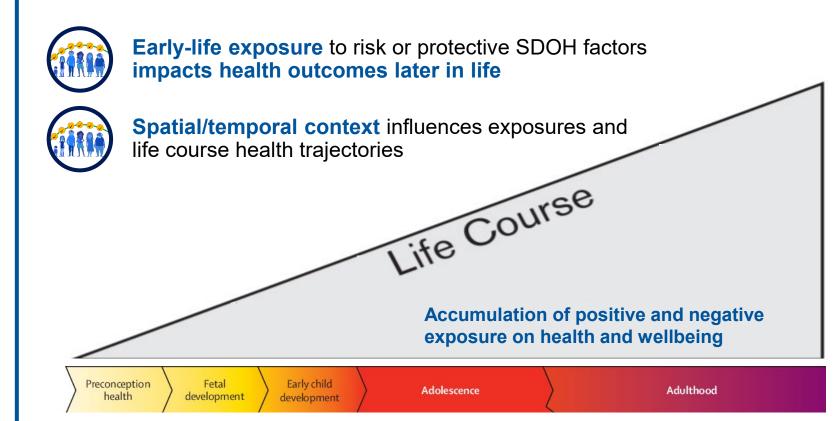
Principle #4: SDOH Shape Health Over the Life Course



SDOH Influence Manifests Over the Life Course

Life Course Perspective:

The life course framework suggests social, economic, psychological, and environmental influences accumulate over the life course to shape health behaviors and mental and physical health.



Principle #5: SDOH Operate Through Biological Embedding



SDOH Operate Through Biological Embedding

Hertzman C, Wiens M. Child development and long-term outcomes: a population health perspective and summary of successful interventions. Social science & medicine. 1996 Oct 1:43(7):1083-95.

Biological Embedding Framework:

A Determinant of Biological Susceptibility

Biological Embedding: The process by which **social conditions initiate** and **sustain biological** changes that have short- and long-term effects on physical health and well-being.

Properties of Biological Embedding:



Social conditions **alter biological processes** (e.g., epigenetic, neurodevelopmental, immune, endocrine, microbiome)



Alterations in biological processes are **stable** and **long-term**



Altered biological processes impact health, wellbeing, learning, and/or behavior **over the life course**

Principle #6: SDOH Operate Intergenerationally



SDOH Operate Intergenerationally

Hoke MK, McDade T. Annals of Anthropological Practice. 2014 Nov;38(2):187-213. Hahn S, Hasler P, Vokalova L, Van Breda SV, Than NG, Hoesli IM, Lapaire O, Rossi SW. Frontiers in immunology. 2019 Mar 29;10:659.

Framework of Biosocial Inheritance

Biosocial Inheritance: "The processes through which social adversity is transmitted across generations through mechanisms both biological and social in nature."

Three Types of Biosocial Inheritance

Cross-Generational

SDOH (Parent)



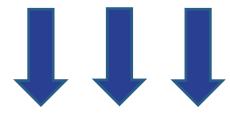
Health of the next generation (fetus)

Biosocial Inheritance

Mechanisms

Multi-Generational

SDOH



Health of multiple generations simultaneously

Transgenerational



Multiple generations consecutively

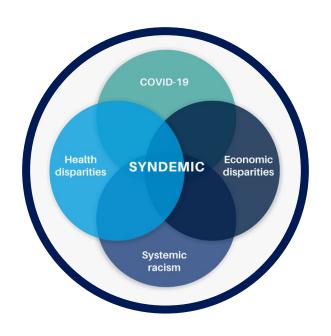
Biological

- Epigenetic
- Immune
- Neuro
- Endocrine
- Microbiome
- Metabolic programming

Social

- Social arrangements
- Historical context
- Political-economic context

Principle #7: SDOH Shape Clustering and Synergies of Health Inequities



The Impacts of SDOH Cluster and Interact Synergistically

Singer M, Clair S, Medical anthropology quarterly. 2003;17(4):423-41. Mayer, K. H., and K. K. Venkatesh. American Journal of Reproductive Immunology. 2011. 65(3):308-316.; Strathdee, S. A., & Sherman, S. G. *Journal of urban health: bulletin of the New York Academy of Medicine*, 2003. 80(4 Suppl 3).

Syndemic Theory:

A **syndemic** is defined as two or more <u>clustered</u> epidemics interacting <u>synergistically</u> within a community or population, resulting in excess disease burden.

There are two underlying mechanisms that produce syndemics:



Biological synergism, e.g.: inflammation due to STIs facilitating transmission or acquisition of HIV.

AND / OR



Socio-contextual synergism, e.g.: increased risk of sexual HIV acquisition among substance users due to sexual behavior.

SDOH may operate through both biological (e.g., inflammatory response) and socio-contextual synergisms.

Principle #8:

SDOH and Social Injustices Interact to Produce Health Inequities



Social Injustices and Structural Racism Shape the Impact of SDOH

Krieger N. Theories for social epidemiology in the 21st century: an ecosocial perspective, *Int J Epid.* 2001;3(4):668–677.; Krieger N. Methods for the scientific study of discrimination and health: an ecosocial approach. *Am J Public Health.* 2012;102(5):936-944.

Ecosocial Framework:

Ecosocial Theory conceptualizes **health inequities** as **biological expressions of social processes**—the result of social injustices.

Social positioning (based on intersectional identity)

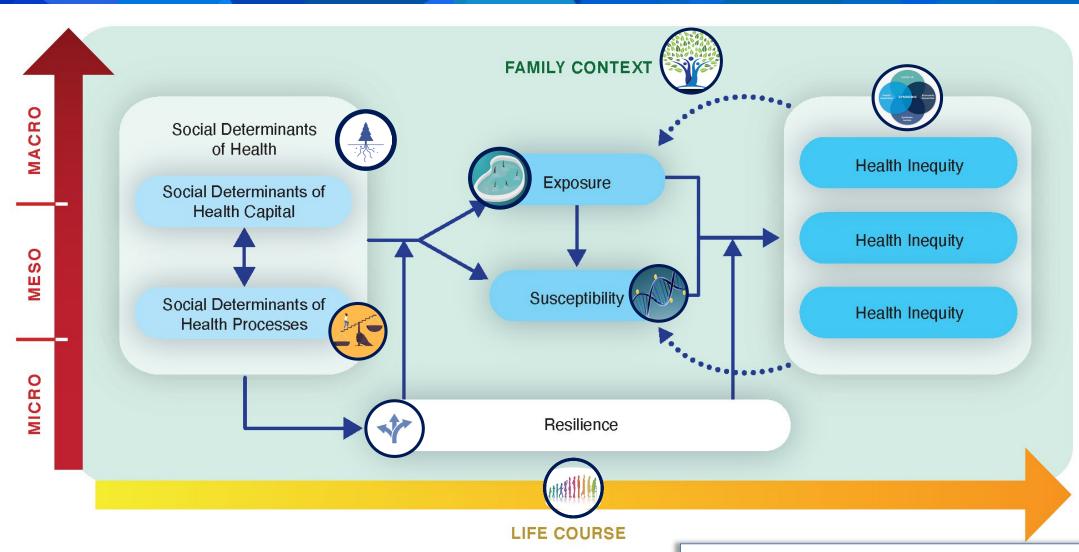


Unjust social processes



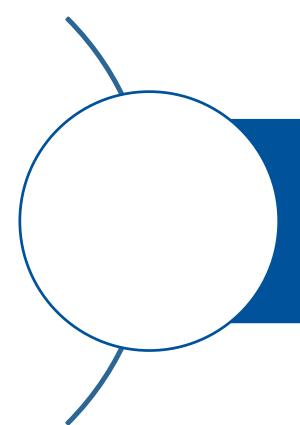
Health inequities

Synthesis of SDOH Mechanisms: An Organizing Framework



Thimm-Kaiser M, Benzekri A., Guilamo-Ramos V. Conceptualizing the mechanisms of social determinants of health: A heuristic framework to inform future directions for mitigation. (2022). (under review).



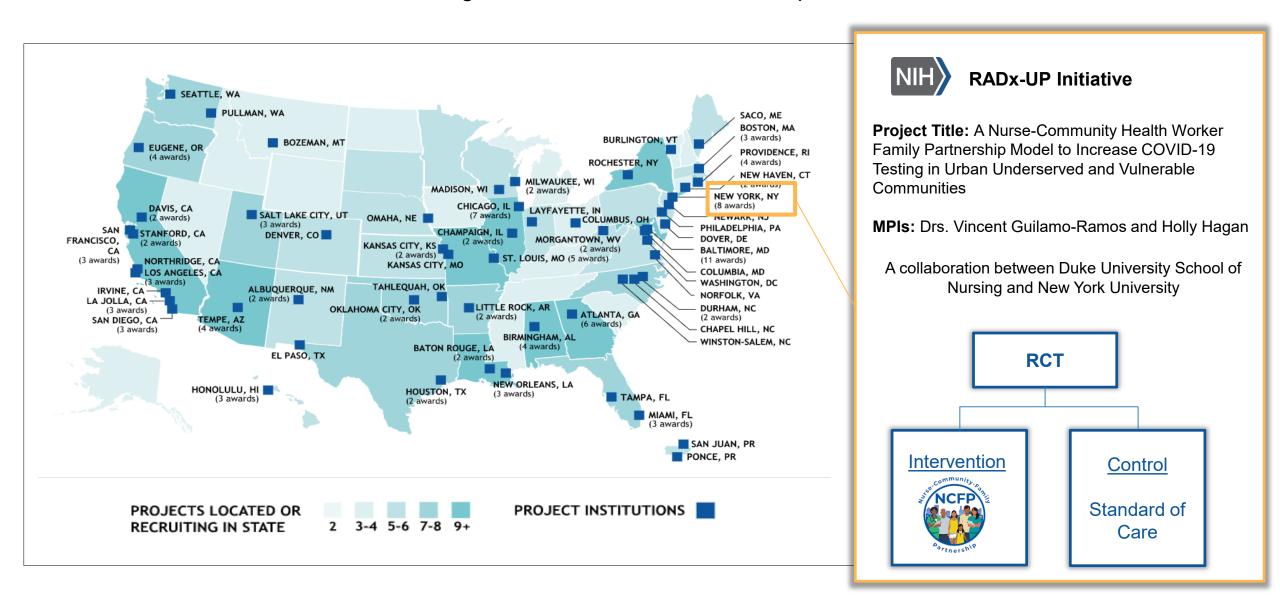


Multi-Level SDOH Mitigation: An Applied Example



RADx® Underserved Populations (RADx-UP)

RADx-UP is a NIH-wide initiative designed to address the COVID-19 pandemic in underserved communities.



COVID-19 in the Bronx, NYC

The Bronx has the

HIGHEST

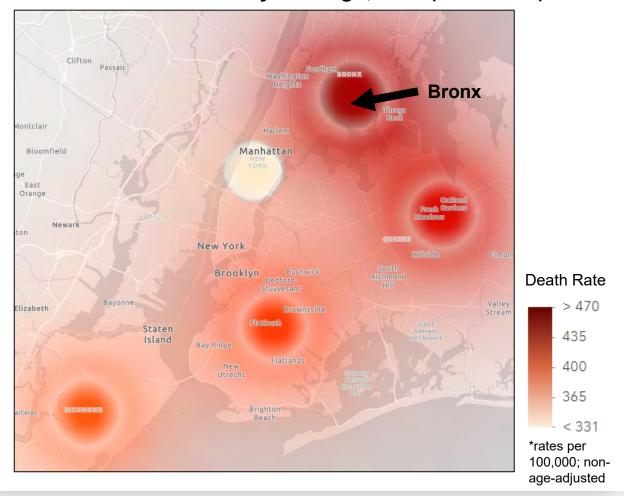
rates of COVID-19 hospitalizations and deaths of any NYC borough

The Bronx has more COVID-19 cases and deaths than

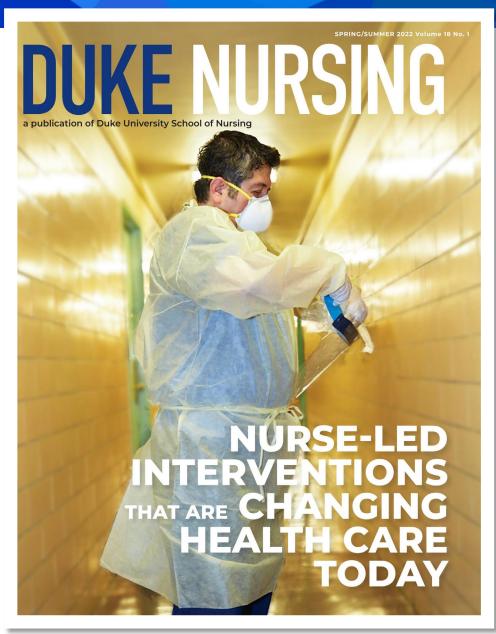
99%

of other counties in the United States.

COVID-19 Death Rates by Borough, NYC (2020-2022)*



The Nurse-Community-Family Partnership (NCFP) Program

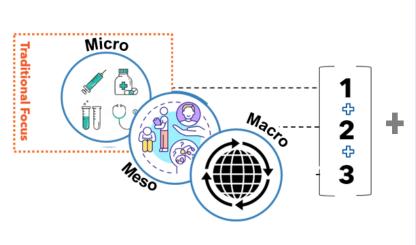




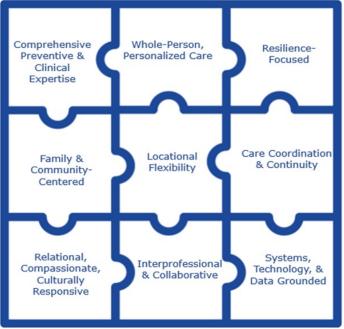
RADx-Up Randomized Controlled Trial

Purpose: Reduce COVID-19 inequities through an applied framework of SDOH mechanisms and a nurse-led, multi-level intervention.

Multi-level Nursing Interventions:



Nurse-led Model of Care:



SDOH Principles as a Roadmap for Nurse-Led Intervention Development



The RADx Nurse-Community-Family Partnership Intervention



Home visits by bilingual and bicultural nursecommunity health worker intervention teams to mitigate the scarcity of culturally/linguistically appropriate health services



Enhancement of COVID-19 immunity through theory-based intervention content to shape vaccine decision-making and navigation to vaccination services



Development of an individualized household infection control plan tailored to the unique risk environment



Family-based COVID-19 prevention and mitigation approach delivered to households as the unit of intervention



Explicit focus on resilience by building capacity for COVID-19 mitigation within households and the broader community



Case management for comorbidities including COVID-19 comorbidity risk assessment, anticipatory guidance for COVID-19 management, and symptom monitoring



Health, social, educational, and vocational support across the life course through a household needs assessment and navigation to ancillary services



Collaborative development of the NCFP Trustworthiness Agreement on four dimensions of trustworthiness (transparency, respect, reliability, benefit)

The NCFP Program in Action



Key Takeaways – Future Directions for SDOH Research:



Moving beyond the characterization of inequities towards SDOH mitigation



Focusing on **mechanisms** of SDOH influence



Bolstering the science of resilience by strengthening the factors and conditions that enable individuals, families, and communities to **thrive despite adversity**



Developing and evaluating effective, multi-level, nursing-led interventions

NINR Strategic Plan

A call to action for nurse-led SDOH and health equity research



Thank You!



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